

Relaxation Dynamics in Quantum Dot Systems Coupled to Fermionic Baths

(Project B7)

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Offen im Denken

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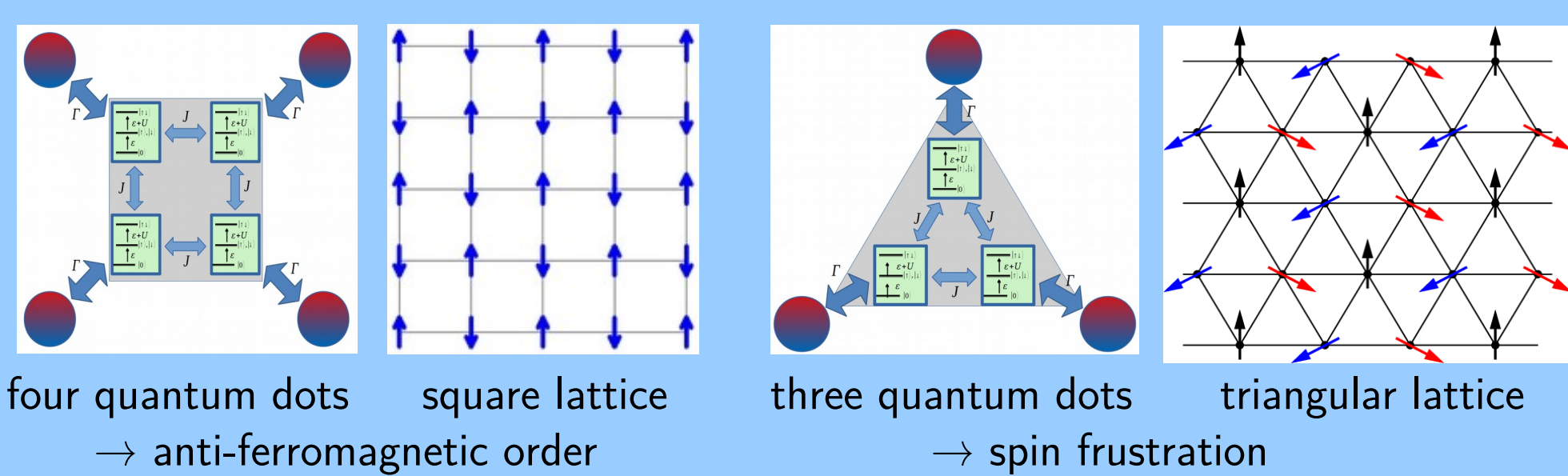
Abstract

We study the influence of the environment represented by the Markovian fermionic baths on strongly interacting quantum systems consisting of up to four quantum dots. Starting with an *ab initio* approach, we derive the Lindblad master equation describing the effective dissipation in the quantum systems within several approaches: local and global, secular and coherent [1,2]. At low temperatures, depending on the coupling parameters, the system of four dots attains or loses the antiferromagnetic order [2]. In three quantum dots, the system becomes spin-frustrated and settles down to a stable persistent spin current. Improving the theory further, we find an effective description that replaces the static bath temperature with a dynamic one, $T(t)$, which reflects the sudden switch-on of the system-environment interaction. The effective time-dependent temperature $T(t)$ gets high at early times and falls asymptotically to the true environment temperature T at late times. We demonstrate possible physical effects and address their measurability.



Motivation

- Relaxation in open quantum systems generally complicated
- Dynamics depends strongly on interaction with environment
- Various relaxation mechanisms and time-scales



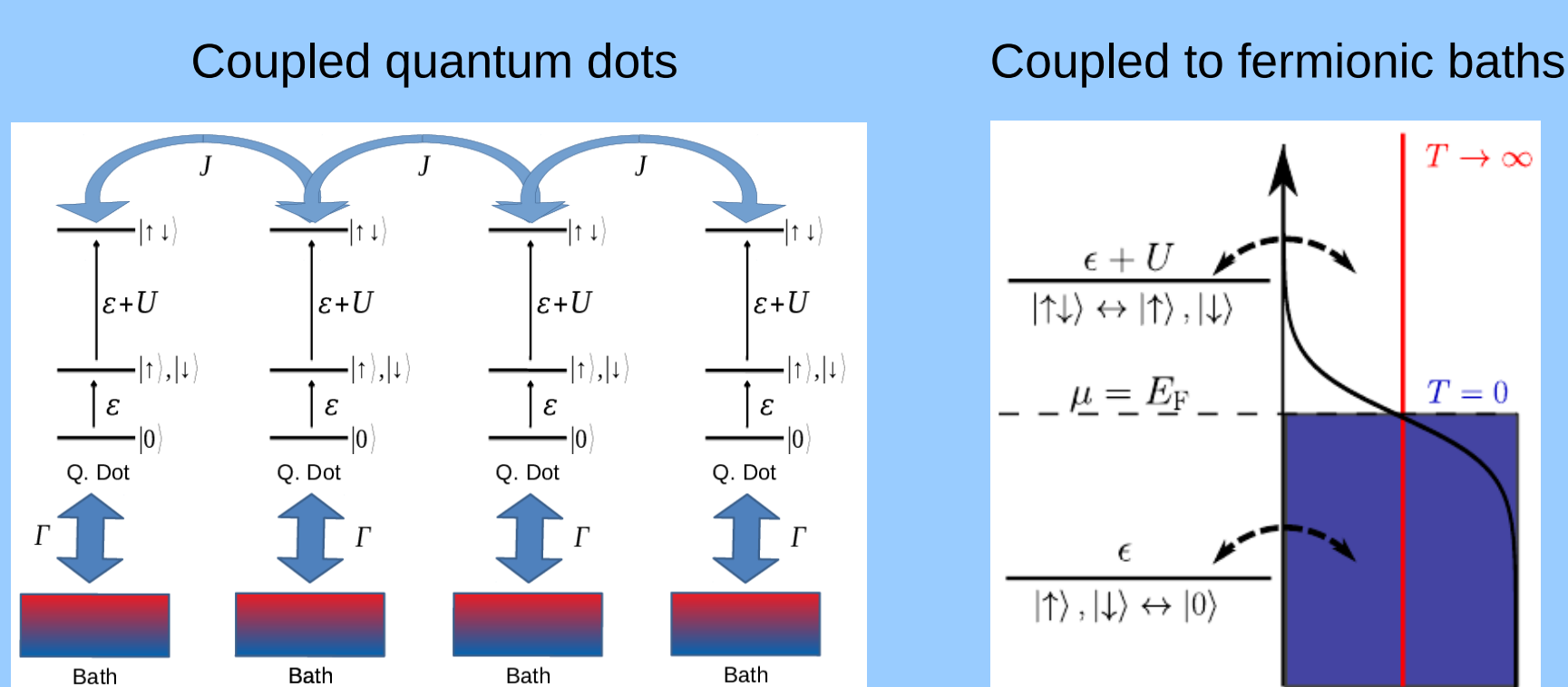
Model

- Fermi-Hubbard model with onsite Coulomb interaction

$$H_S = -J \sum_{\langle m, m' \rangle, \sigma} c_{m, \sigma}^\dagger c_{m', \sigma} + U \sum_m n_{m, \downarrow} n_{m, \uparrow} + \varepsilon \sum_{m, \sigma} n_{m, \sigma}$$

$$H_b = \sum_{k, \sigma} \varepsilon_{k, \sigma} a_{m, k, \sigma}^\dagger a_{m, k, \sigma} \quad H_C = \sum_{m, k, \sigma} t_{k, \sigma} c_{m, \sigma}^\dagger a_{m, k, \sigma} + \text{h.c.}$$

Tunneling between sites (J) + On-site interaction (U) + On-site energy (ε)



Reduction to Lindblad Master equation

Tracing out bath degrees of freedom (lowest order in Γ , Markov I)
→ Redfield master equation for density matrix

$$\partial_t \hat{\rho} = -i [\hat{H}, \hat{\rho}] + \sum_{n, \sigma, \pm} \gamma_{n, \sigma}^\pm \hat{L}_{n, \sigma}^\pm \hat{\rho}$$

$\gamma_{n, l}^\pm$ couplings between system and baths, $\hat{L}_{n, \sigma}^\pm$ dissipators (not positive definite)

secular or coherent approximation → Lindblad operators: positive $\hat{L}_{n, \sigma}^\pm$

$$\hat{L}_{n, l}^\pm \hat{\rho} = \hat{L}_{n, l}^\pm \hat{\rho} (\hat{L}_{n, l}^\pm)^\dagger - \frac{1}{2} \{ (\hat{L}_{n, l}^\pm)^\dagger \hat{L}_{n, l}^\pm, \hat{\rho} \}$$

Global vs local approximation [1]

Global Lindblad operators (w.r.t. system eigenstates)

• secular
 $L_{m, s}^\pm(\Delta E) = \sum_{i, j} \delta_{\Delta E, E_i - E_j} \sqrt{f_\pm(E_i - E_j)} |\chi_i\rangle\langle\chi_i| c_{m, s}^\pm |\chi_j\rangle\langle\chi_j|$

• coherent
 $L_{m, s}^\pm = \sum_{i, j} \sqrt{f_\pm(E_i - E_j)} |\chi_i\rangle\langle\chi_i| c_{m, s}^\pm |\chi_j\rangle\langle\chi_j|$

Local Lindblad operators (w.r.t. local sites)

• secular
 $L_{\sigma, 1}^\pm = \sqrt{f_\pm(\varepsilon)} c_{\sigma, 1}^\pm (1 - n_{\sigma, 1})$
 $L_{\sigma, 2}^\pm = \sqrt{f_\pm(\varepsilon + U)} c_{\sigma, 2}^\pm n_{\sigma, 2}$

• coherent
 $L_{\sigma, 1}^\pm = L_{\sigma, 1}^\pm + L_{\sigma, 2}^\pm$
 $= \sqrt{f_\pm(\varepsilon)} c_{\sigma, 1}^\pm (1 - n_{\sigma, 1}) + \sqrt{f_\pm(\varepsilon + U)} c_{\sigma, 2}^\pm n_{\sigma, 2}$

• for hot baths ($T = \infty$)

$$L_{m, \sigma}^+ = c_{m, \sigma}^\dagger$$

$$L_{m, \sigma}^- = c_{m, \sigma}$$

• for cold baths ($T = 0$)

$$L_{m, \sigma}^+ = c_{m, \sigma}^\dagger (1 - n_{m, \sigma})$$

$$L_{m, \sigma}^- = c_{m, \sigma} n_{m, \sigma}$$

Square lattice – Tetramer

Fermi-Hubbard model on square lattice

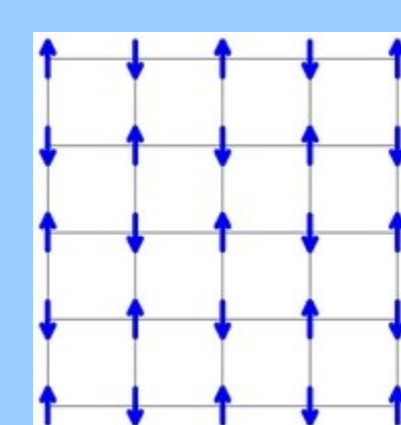
For $U \gg J, \Gamma$ and $\varepsilon = -U/2 < 0$

→ low energy sector: half filling

→ Schrieffer-Wolff transf. → Heisenberg model:

$$H_{\text{eff}} = E_0 + \frac{4J^2}{U} \sum_{\langle m, n \rangle} \vec{S}_m \cdot \vec{S}_n$$

→ spin hopping + spin order parameter

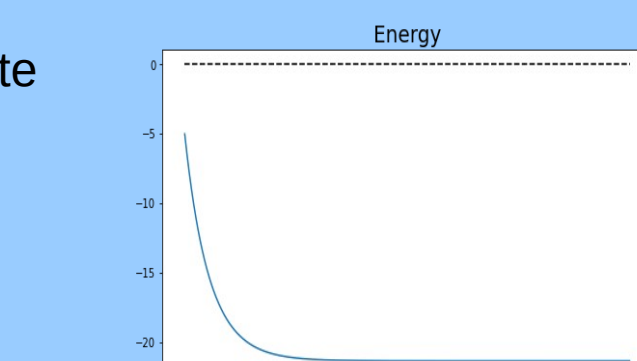
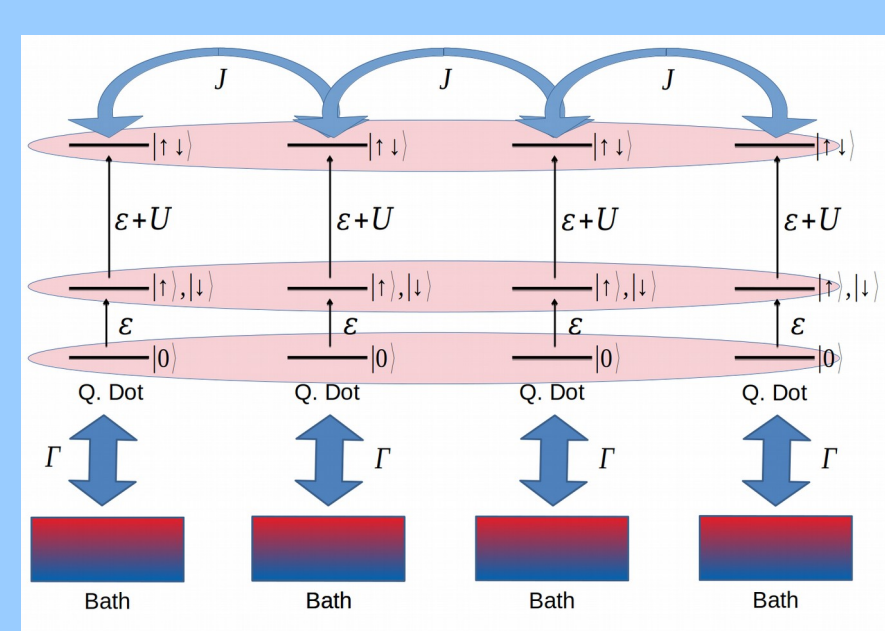


AF order preferred
coupling to environment: relaxation to AF?
→ study for $N = 4$

Tetramer ($N=4$ Quantum Dots)

➤ Global Lindblads ($J \gg \Gamma$) → relaxation to ground state

$$|\Psi_{\text{af}}\rangle = \frac{1}{\sqrt{12}} [2|\uparrow\uparrow\downarrow\downarrow\rangle + 2|\downarrow\downarrow\uparrow\uparrow\rangle - |\uparrow\uparrow\downarrow\downarrow\rangle - |\downarrow\downarrow\uparrow\uparrow\rangle - |\uparrow\downarrow\uparrow\downarrow\rangle - |\downarrow\uparrow\downarrow\uparrow\rangle]$$



➤ Local Lindblads ($\Gamma \gg J$): Relaxation to low energy sector

→ final state depends on the couplings

• Decay via Mott-Neel anti-ferromagnetic order ($S=0$)

$$|\Psi_{\text{af}}\rangle = \frac{1}{\sqrt{12}} [2|\uparrow\uparrow\downarrow\downarrow\rangle + 2|\downarrow\downarrow\uparrow\uparrow\rangle - |\uparrow\uparrow\downarrow\downarrow\rangle - |\downarrow\downarrow\uparrow\uparrow\rangle - |\uparrow\downarrow\uparrow\downarrow\rangle - |\downarrow\uparrow\downarrow\uparrow\rangle]$$

• and via Ising anti-ferromagnetic order ($S=1$)

$$|\Psi_{\text{af}}\rangle = \frac{1}{\sqrt{2}} [|\uparrow\uparrow\downarrow\downarrow\rangle - |\downarrow\downarrow\uparrow\uparrow\rangle]$$

→ Final states of evolution: ferromagnetic ($S=2$)

$$|\Psi_{-2}\rangle = |\downarrow\downarrow\downarrow\downarrow\rangle$$

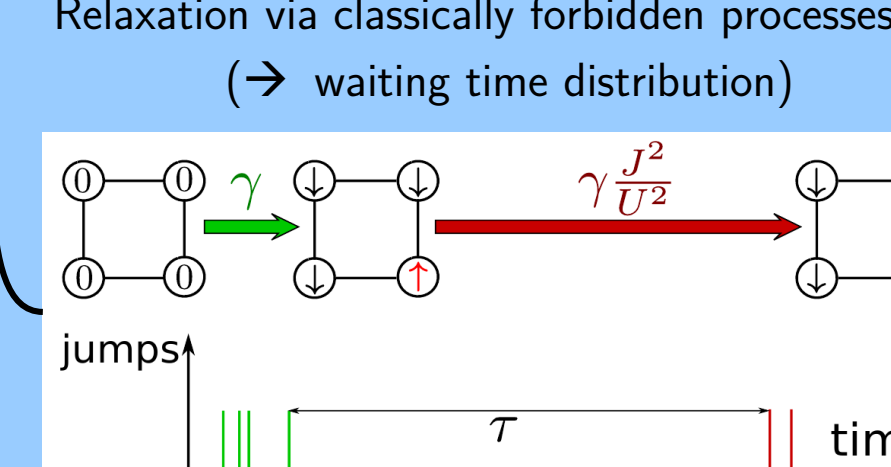
$$|\Psi_{-1}\rangle = \frac{1}{2} [|\uparrow\uparrow\downarrow\downarrow\rangle + |\downarrow\downarrow\uparrow\uparrow\rangle + |\uparrow\downarrow\uparrow\downarrow\rangle + |\downarrow\uparrow\downarrow\uparrow\rangle]$$

$$|\Psi_0\rangle = \frac{1}{\sqrt{6}} [|\uparrow\uparrow\downarrow\downarrow\rangle + |\downarrow\downarrow\uparrow\uparrow\rangle + |\uparrow\downarrow\uparrow\downarrow\rangle + |\downarrow\uparrow\downarrow\uparrow\rangle + |\uparrow\downarrow\downarrow\uparrow\rangle + |\downarrow\uparrow\uparrow\downarrow\rangle]$$

$$|\Psi_{+1}\rangle = \frac{1}{2} [|\uparrow\uparrow\uparrow\uparrow\rangle + |\downarrow\downarrow\downarrow\downarrow\rangle + |\uparrow\downarrow\uparrow\downarrow\rangle + |\downarrow\uparrow\downarrow\uparrow\rangle]$$

$$|\Psi_{+2}\rangle = |\uparrow\uparrow\uparrow\uparrow\rangle$$

Relaxation via classically forbidden processes (→ waiting time distribution)



Triangular lattice – Trimer

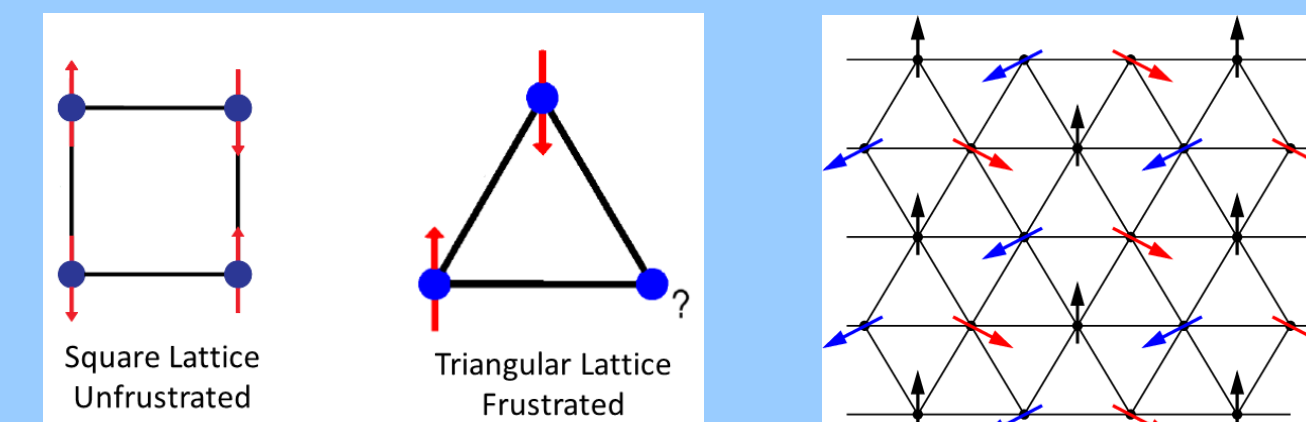
Fermi-Hubbard model on triangular lattice

→ AF order preferred

but impossible

→ spin frustration

→ spin waves



Trimer ($N=3$ Quantum Dots)

Steady states at low energy:

1. $|\uparrow, \uparrow, \uparrow\rangle$ ($S_z = \frac{3}{2}$)
2. 3 states with \uparrow, \uparrow and \downarrow ($S_z = \frac{1}{2}$)
3. 3 states with \downarrow, \downarrow and \uparrow ($S_z = -\frac{1}{2}$)
4. $|\downarrow, \downarrow, \downarrow\rangle$ ($S_z = -\frac{3}{2}$)

Spin waves

$$|S_0\rangle = \frac{1}{\sqrt{3}} [|\uparrow, \downarrow, \downarrow\rangle + |\downarrow, \uparrow, \downarrow\rangle + |\downarrow, \downarrow, \uparrow\rangle]$$

$$|S_+\rangle = \frac{1}{\sqrt{3}} [|\uparrow, \downarrow, \downarrow\rangle + e^{ik_0} |\downarrow, \uparrow, \downarrow\rangle + e^{-ik_0} |\downarrow, \downarrow, \uparrow\rangle]$$

$$|S_-\rangle = \frac{1}{\sqrt{3}} [|\uparrow, \downarrow, \downarrow\rangle + e^{-ik_0} |\downarrow, \uparrow, \downarrow\rangle + e^{ik_0} |\downarrow, \downarrow, \uparrow\rangle] \quad k_0 = 2\pi/3$$

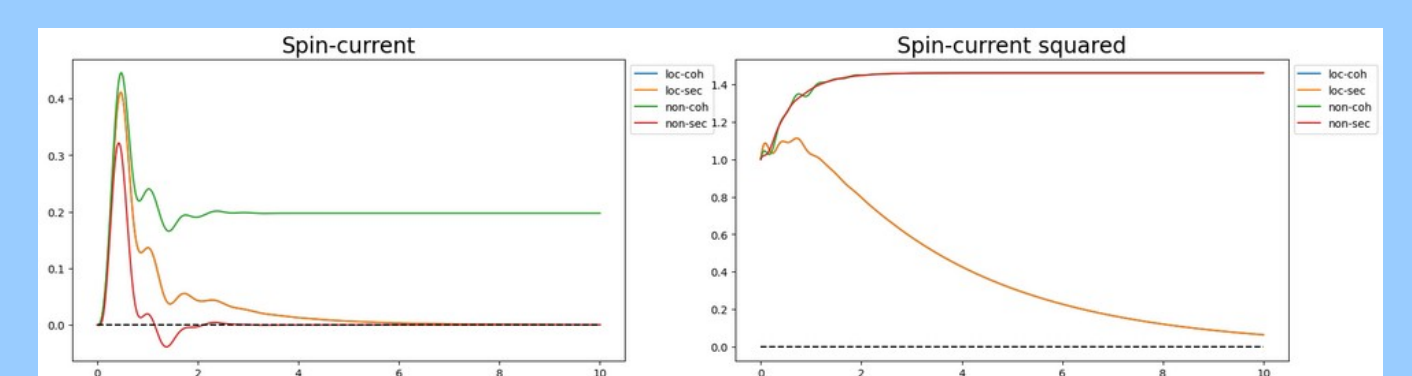
→ spin waves with non-zero spin current ~ ground states!

Relaxation to spin currents

From: $|\psi_0\rangle = |\uparrow, 0, \downarrow\rangle$

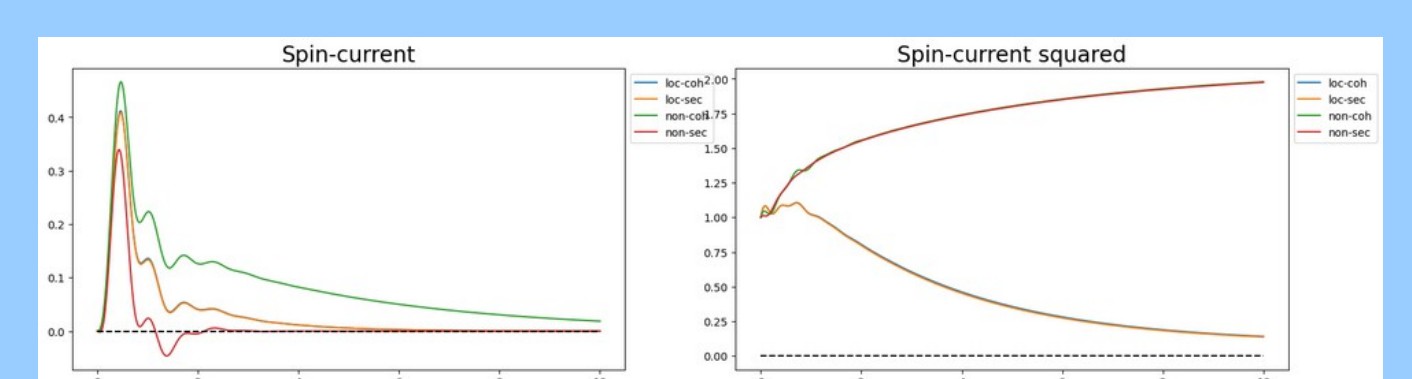
• $T=0$

spin current right
> spin current left



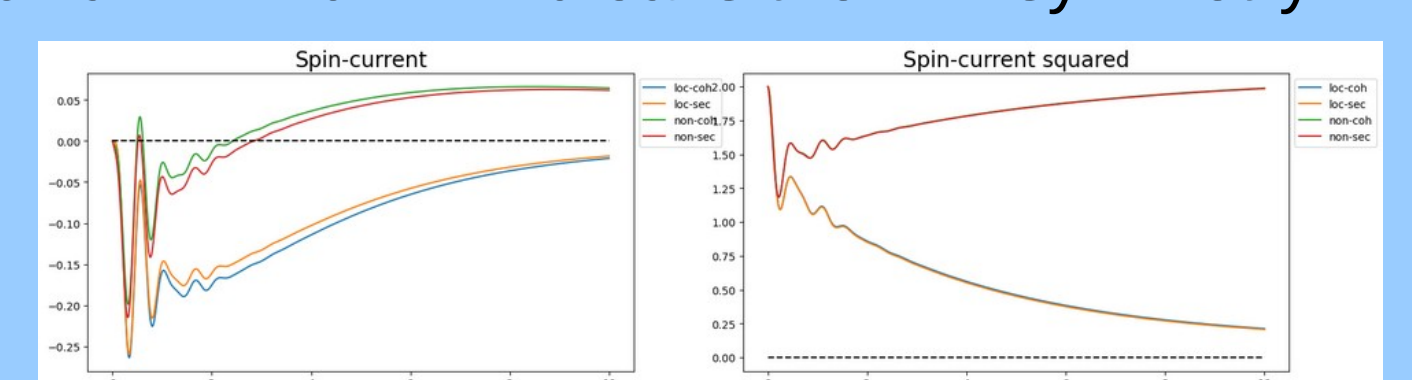
• $T \geq 0$ ($T \sim \Gamma$)

spin current right
= spin current left

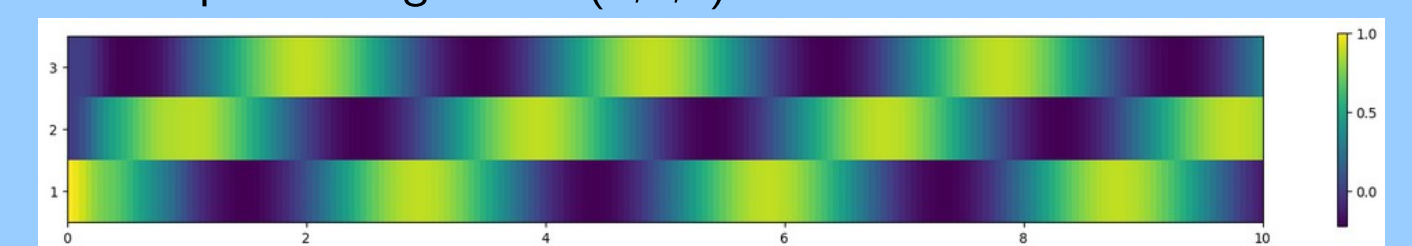


• $T \geq 0$ + Magnetic flux: $B > 0$ → breaks the L-R symmetry

spin current right
> spin current left



Total spin on single sites (1,2,3) as a function of time



Time-dependent temperature model

Static Lindblad operators obtain coefficients from the Fermi-Dirac distribution

$$\gamma_{T, \Delta E} = \frac{1}{2} \pm \int_0^\infty T \frac{\sin(\Delta E \tau)}{\sinh(\pi T \tau)} d\tau = \frac{1}{2} \pm \frac{1}{2} \tanh\left(\frac{\Delta E}{2T}\right) = \frac{1}{1 + e^{\mp \Delta E/T}} = f_T(\mp \Delta E)$$

Here: $t = \infty$ follows from infinite history ← simplifying assumption (Markov II)

Exact

$$F_{T, \Delta E}(t) = \int_0^t T \frac{\sin(\Delta E \tau)}{\sinh(\pi T \tau)} d\tau \approx \frac{1}{2} \tanh\left[\frac{\Delta E}{2T} \tanh\left(\frac{4Tt}{\pi}\right)\right]$$

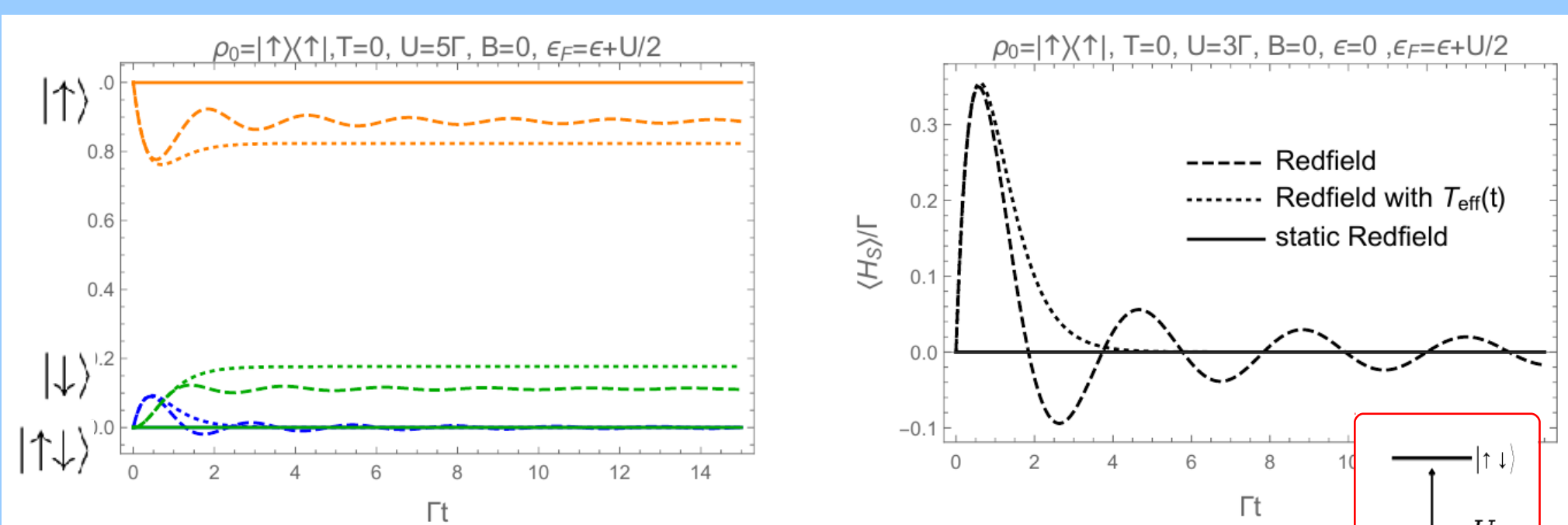
→ Uniform approximation for all parameters: $T, \Delta E, t!$ (with oscillations averaged out)

We get

$$\gamma_{T, \Delta E} = \frac{1}{2} \pm F_{T, \Delta E}(t) \approx f_{T(t)}(\mp \Delta E) \quad \text{with} \quad T(t) = \frac{T}{\tanh(4Tt/\pi)}$$

→ looks like thermal with time-dependent temperature $T(t)!$

One quantum dot coupled to cold bath



- Full Redfield: negative probabilities and negative energy
- Redfield with $T(t)$: positive probabilities and positive energy
- Static Redfield: no dynamics

Conclusions

Differences among approximation schemes, depending on system parameters regime

- Anti-ferromagnetic order ($N=2, N=4$):
 - strong coupling to env. (local): AF metastable → ferromagnetic
 - weak coupling to env. (global): AF stable
- Persistent spin currents ($N=3$)
 - strong coupling to env. (local): spin currents decay
 - weak coupling to env. (global secular): only symmetric currents
 - weak coupling to env. (global coherent): asymmetric currents
- Time-dependent effective temperature $T(t)$ ($N=1$)
 - static T : no dynamics
 - $T(t)$: quench dynamics

Collaborative Research Centre 1242

Non-Equilibrium Dynamics of Condensed Matter in the Time Domain

Publications:

1. E. Kleinherbers, N. Szpak, J. König, R. Schützhold, Phys. Rev. B 101, 125131 (2020)
2. G. Schaller, F. Queisser, N. Szpak, J. König, and R. Schützhold, Phys. Rev. B 105, 115139 (2022)

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